

Receipt date: 10/06/2006

10589635-GAU-1792  
*E*



**Certification under 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to THE COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on October 4, 2006.

Brian W. Hameder (Reg. No. 45,613)  
Name

  
Signature

DOCKET: CU-5017

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Katsuya TESHIMA et al.

Serial No.: 10/589,635

Group Art Unit:

Filed: August 16, 2006

Examiner:

For: ARTIFICIAL CORUNDUM CRYSTAL

THE COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Date: October 4, 2006

  
Signature of Attorney  
Brian W. Hameder  
Ladas & Parry LLP  
224 South Michigan Avenue  
Chicago Illinois 60604  
Tel. No. (312) 427-1300  
Reg. No. 45613

Receipt.date: 10/10/2006  
OCT 10 2006  
PATENT AND TRADEMARK OFFICE

10589635 - GAU: 1792

**Certification under 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to THE COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on October 4, 2006.

Brian W. Hameder (Reg. No. 45,613)  
Name

Brian W. Hameder  
Signature

DOCKET: CU-5017

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Katsuya TESHIMA et al.

Serial No.: 10/589,635

Group Art Unit:

Filed: August 16, 2006

Examiner:

For: ARTIFICIAL CORUNDUM CRYSTAL

THE COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

-2-

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise. Also, if the present application was filed after June 30, 2003, copies of US patents or published applications are not submitted in accordance with the USPTO Rule changes.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

Additional comments, if any, on the relevance of each reference listed are provided as follows:

**Also submitted herein is a copy of the PCT Search Report which satisfies the requirement for a translation or concise explanation of any non-English reference cited therein, as provided in MPEP §609 A(3).**

The Statement is made on the basis of the information:

- X supplied by the inventor(s);  
       supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)); or  
       in the attorney's file.

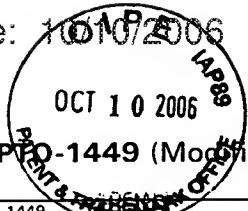
Respectfully submitted,

  
Signature of Attorney  
Brian W. Hameder  
Ladas & Parry LLP  
224 South Michigan Avenue  
Chicago Illinois 60604  
Tel. No. (312) 427-1300  
Reg. No. 45613

Date: October 4, 2006

Receipt date: 10/10/2006

10589635 - GAU: 1792



-3-

**Form PTO-1449 (Modified)**

FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (37 CFR 1.98(b))	ATTY. DOCKET NO.  CU-5017	SERIAL NO.  10/589,635
	APPLICANT  Katsuya TESHIMA et al.	
	FILING DATE  August 16, 2006	GROUP

## **U.S. PATENT DOCUMENTS**

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

FOREIGN PATENT OR DESIGN FOREIGN PATENT APPLICATION						
EXAMINER INITIAL	DOCUMENT NUMBER	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB-CLASS	TRANSLATION YES   NO
/RK/	JP 63-17297	01/25/88	Japan			
/RK/	JP 40-26525	11/17/40	Japan			
/RK/	JP 7-187760	07/25/95	Japan			
/RK/	JP 7-277893	10/24/95	Japan			
/RK/	JP 6-199597	07/19/94	Japan			

**OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)**

/RK/	Oishi, S., et al. (1993) Growth of emerald crystal by the evaporation of molybdenum trioxide flux. British Ceramic Transactions. 92:214-216.
/RK/	Oishi, S. et al. (2004) Flux growth of hexagonal bipyramidal ruby crystals. Journal of American Chemical Society. 126:4768-4769.

(Form PTO-1449)

/Robert Kunemund/

09/09/2008